Holding of specified securities

Annexure - I

1. Name of Listed Entity :	Swarnsarita Gems Ltd.
2. Scrip Code/Name of Scrip/Class of Security:	526365 / Equity
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	31(1)(b)
a. If under 31(1)(b) then indicate the report for Quarter ending	30-09-2018
b. If under 31(1)(c) then indicate date of allotment/extinguishment :	
4. Declaration: The Listed entity is required to submit the following declaration to the	
extent of submission of information:-	
Particulars	Yes*/No*
1 Whether the Listed Entity has issued any partly paid up shares?	No
2 Whether the Listed Entity has issued any Convertible Securities?	No
3 Whether the Listed Entity has issued any Warrants?	No
4 Whether the Listed Entity has any shares against which depository receipts are issued?	No
5 Whether the Listed Entity has any shares in locked-in?	No
6 Whether any shares held by promoters are pledge or otherwise encumbered?	Yes
* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as	
applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.	

Name of the Company: Swarnsarita Gems Ltd.
Script Code: Equity
As on: 30-09-2018

Table I - Summary Statement holding of specified securities

	Category of shareholder (II)				Depository Receipts	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of
Category		Nos. of shareholders (III)		No. of Partly paid-up equity				No of Voting Rights			Outstanding convertible						equity shares held in	
(I)			shares held (IV)	shares held (V)				Class eg:X	Class eg:Y	Total	Total as a % of (A+B+ C)	securities (including Warrants) (X)	diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of form total Shares held (b)	
(A)	Promoter & Promoter Group	1	9357187			9357187	44.82	9357187		9357187	44.82		44.82			6263040	66.93	9357187
(B)	Public	6531	11519613			11519613	55.18	11519613		11519613	55.18		55.18					11084588
(C)	Non Promoter- Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	Total	6532	20876800			20876800	100	20876800		20876800	100		100			6263040	30	20441775

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

							Shareholdin	Number (of Voting Righ secun (E	rities		No. of Shares	Shareholding , as a % assuming full	sh	of Locked in ares KII)	Number of Shares pledged or otherwise encumbered (XIII)		Number of
	Category & Name of the	No. of	No. of fully paid up	Partly paid-	Nos. of shares	Total nos.	g % calculated	No	of Voting Rig	hts		Underlying Outstanding	conversion of convertible				or otherwise imbered XIII) As a % of total shares held (b) 10 66.93 10 66.93	equity shares held
	Shareholders (I)	shareholder (III)	equity shares held (IV)	up equity shares held (V)	underlying Depository Receipts (VI)	shares held (VII = IV+V+VI)	as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Class X	ClassY	Total	Total as a % of Total Voting rights	convertible securities (including Warrants) (X)	securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	No. (a)	As a % of total Shares held (b)	No. (a)		in dematerializ ed form (XIV)
-1	Indian		0	0		0		0		0								
(a)	Individuals/Hindu undivided Family		0	0		0		0		0								
(b)	Central Government/ State Government(s)		0	0		0		0		0								
(c)	Financial Institutions/ Banks		0	0		0		0		0								
(d)	Any Other (specify)	1	9357187	0		9357187	44.82	9357187		9357187	44.82		44.82			6263040	66.93	9357187
	SWARNSARITA JEWELLERS PVT LTD	1	9357187	0		9357187	44.82	9357187		9357187	44.82		44.82			6263040	66.93	9357187
Sub-Total (A)(1)		1	9357187	0		9357187	44.82	9357187		9357187	44.82		44.82			6263040	66.93	9357187
-2	Foreign		0	0		0		0		0								
(a)	Individuals (NonResident Individuals/ Foreign Individuals)		0	0		0		0		0								
(b)	Government		0	0		0		0		0					1			
(c)	Institutions		0	0		0		0		0								
(d)	Foreign Portfolio Investor		0	0		0		0		0								
(e)	Any Other (specify)		0	0		0		0		0								
Sub-Total (A)(2)			0	0		0		0		0								
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)		1	9357187	0		9357187	44.82	9357187		9357187	44.82		44.82			6263040	66.93	9357187
(2)																		

Stock Exchange(s).(2) The term Encumbrance has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

	(1)				Nos. of	shares held	Sharehol ding % calculate d as per	6				No. of Shares	Shareholding , as a % assuming full	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		
			No. of fully paid up equity shares held (IV)	Partly	shares			No of	Voting	Rights		Underlying	conversion of convertible		As a % of total Shares held (b)			Number of
		No. of shareholder (III)		paid-up equity shares held (V)	underlyi ng Deposito ry Receipts (VI)		SCRR, 1957 As a % of (A+B+C 2) (VIII)	Class X	ClassY	Total	Total as a % of Total Voting rights	Outstanding convertible securities (including Warrants) (X)	securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	No. (a)		No. (a)	As a % of total shares held (b)	equity shares held in dematerialized form (XIV)
-1			0	0		0		0		0								
(a)	Mutual Funds		0	0		0		0		0								
(b)	Venture Capital Funds		0	0		0		0		0								
(c)	Alternate Investment Funds		0	0		0		0		0		 			 		 	
(d) (e)	Foreign Venture Capital Investors Foreign Portfolio Investors		0	0		0		0		0		 						
(f)	Financial Institutions/ Banks		0	0		0		0		0		 						
(I) (g)	Insurance Companies		0	0		0		0		0		 						
(h)	Provident Funds/ Pension Funds		0	0		0		0		0								
(i)	Any Other (specify)		0	0		0		0		0		İ						
Sub-Total (B)(1)	(apromy)		0	0		0		0		0								
	Central Government/ State																	
-2	Government(s)/ President of India		0	U		U		U		0								
Sub-Total (B)(2)			0	0		0		0		0								
-3	Non-institutions		0	0		0		0		0								
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	6166	2347437	0		2347437	11.24	2347437		2347437	11.24		11.24					1956712
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	54	6318506	0		6318506	30.27	6318506		6318506	30.27		30.27					6318506
	LUXMI KANT GUPTA	1		0		307437	1.47	307437		307437	1.47		1.47					307437
	PIYUSH DILIP NABERA	1		0		370523	1.77	370523		370523	1.77		1.77					370523
	SHIVAJIRAO S JONDHALE	1		0		370855	1.78	370855		370855	1.78		1.78					370855
	JAGDISHCHANDRA JAJOO	1		0		483700	2.32	483700		483700	2.32		2.32					483700
	SHEELA SUNIL KOTHARI	1	663271	0		663271	3.18	663271		663271	3.18		3.18					663271
(b)	NBFCs registered with RBI		0	0		0		0		0								
(c)	Employee Trusts		0	U				U		0								
(d)	Overseas Depositories (holding DRs) (balancing figure)		0	0		0		0		0								
(e)	Any Other (specify)	311	2853670	0		2853670	13.67	2853670		2853670	13.67		13.67					2809370
(6)	Foreign Nationals	1		0		200	0	200		200			0					200
	Clearing Members	22	38719	0		38719	0.19	38719		38719	0.19		0.19					38719
	Non-Resident Indian (NRI)	117	86285	0		86285	0.41	86285		86285	0.41		0.41					44485
	SPARKLE SECURITIES SOLUTIONS PVT LT	1	273900	0		273900	1.31	273900		273900	1.31		1.31					273900
	INVESTOR EDUCATION AND PROTECTION F	1	279333	0		279333	1.34			279333	1.34		1.34					279333
	IEPF	1	279333	0		279333	1.34	279333		279333	1.34		1.34				ļ	279333
	GRACEUNITED REAL ESTATE PRIVATE LIM	1	382233	0		382233	1.83	382233		382233	1.83		1.83					382233
	HUF	109		0		980333	4.7	980333		980333	4.7		4.7				 	979833
Sub Total (D)(2)	Bodies Corporate	61 6531		0	-	1468800 11519613	7.04 55.18	1468800 11519613		1468800	7.04 55.18		7.04 55.18					1466800 11084588
Sub-Total (B)(3) Total Public Shareholding (B)=(B)(1)+(B)(2)+(6531		0		11519613		11519613		11519613 11519613	55.18		55.18					11084588
B)(3)	Details of the shareholders acting as personal control of the shareholders acting a shareholder control of the shareholder con	ons in Concert	tingluding their S	harahaldi	ng (No. one	1 %).Datails of S	haras whia	h romain unglain	and may	ha givan haar ala	ng with d	otoile cuch oc m	umber of charel	oldore oute	tonding	shares hald	in domet/s	malaimad

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.Note:(1) PAN would not be displayed on website of Stock Exchange(s). (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares. (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name					Total nos.	Shareh olding % calculat	dding % (IX)					Shareholding , as a % assuming full conversion of	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered			
			No. of fully paid	Partly paid-up	Nos. of shares		ed as	N	o of Voti	ing Rights		Underlyin g	convertible					Number of equity shares	
	of the Shareholders (I)	No. of shareholder (III)	un equity	equity shares held (V)	equity shares held	underlying Depository Receipts (VI)	g shares held (VII =	per SCRR, 1957 As a % of (A+B+ C2) (VIII)	Class X	ClassY	Total	Total as a % of Total Voting rights	Outstandi ng convertibl e securities (including Warrants) (X)	a percentage of diluted	No. (a)	As a % of total Shares held (b)	No	As a % of total shares held (b)	held in dematerialized form (XIV)
	Custodian/DR Holder																		
(a)	Name of DR Holder (if available)																		
	Sub-Total [C](1)	0	0	0	0	0	0	0		0	0		0	0	0	0	0	0	
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																		
	Name (abc Sub-Total [C](2)	0	0	0	0	0	0	0		0	0		0	0	0	0	0	0	
	£ - 1(=)													-				•	
	Total Non- Promoter- Non Public Shareholding (C)=[C](1) +[C](2)	0	0	0	0	0	0	0		0	0		0	0	0	0	0	0	

Note (1) PAN would not be displayed on website of Stock Exchange(s).(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,