## Holding of specified securities

Annexure - I

1. Name of Listed Entity	Swarnsarita Gems Ltd.
2. Scrip Code/Name of Scrip/Class of Security	526365 / Equity
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	Regulation 31 (1) (b)
a. If under 31(1)(b) then indicate the report for Quarter ending	30-09-2020
b. If under 31(1)(c) then indicate date of allotment/extinguishment :	
4. Declaration: The Listed entity is required to submit the following declaration to the	
extent of submission of information:-	
Particulars	Yes*/No*
1 Whether the Listed Entity has issued any partly paid up shares?	No
2 Whether the Listed Entity has issued any Convertible Securities?	No
3 Whether the Listed Entity has issued any Warrants?	No
4 Whether the Listed Entity has any shares against which depository receipts are issued?	No
5 Whether the Listed Entity has any shares in locked-in?	No
6 Whether any shares held by promoters are pledge or otherwise encumbered?	Yes
7. Whether company has equity shares with differential voting rights?	No
8. Whether the listed entity has any significant beneficial owner?	No
* If the Listed Entity selects the option 'No' for the questions above, the columns for the	
partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts,	
locked-in shares, No of shares pledged or otherwise encumbered by promoters, as	
applicable, shall not be displayed at the time of dissemination on the Stock Exchange	
website. Also wherever there is 'No' declared by Listed Entity in above table the values	
will be considered as 'Zero' by default on submission of the format of holding of	
specified securities.	

Name of the Company : Script Code : As on :

Swarnsarita Gems Ltd. Equity 30-09-2020

## Table I - Summary Statement holding of specified securities

	Category of shareholder (II)				No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a %	Number of V	sec	ghts held in each urities (IX)	class of	No. of Shares Underlying	Shareholding , as a % assuming full conversion of convertible	g (XII) of		of hares Number of Shares pledge or otherwise encumbered (XIII)		Number of
Category (I)		Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)				As a % of (A+B+C2)	No o Class eg:X	Class eg:Y		Total as a %	Outstanding convertible securities (including Warrants) (X)	convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	f form I (XIV) es
(A)	Promoter & Promoter Group	7	10343810			10343810	49.55	10343810		10343810	49.55		49.55			6263040	60.55	10343810
(B)	Public	6120	10532990			10532990	50.45	10532990		10532990	50.45		50.45					10142565
(C)	Non Promoter- Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	Total	6127	20876800			20876800	100	20876800		20876800 100		100				6263040	30	20486375
																		I

							Shareholdin	Number	of Voting Rig secu (I	rities	ch class of	No. of Shares	Shareholding , as a % assuming full	sh	f Locked in ares XII)	pledged or encum	of Shares r otherwise abered III)	Number of
	Catagowy & Name of the	No. of	No. of fully	Partly paid-	Nos. of shares	Total nos.	g % calculated	No	of Voting Rig	hts		Underlying Outstanding	conversion of convertible					equity
	Category & Name of the Shareholders (I)	No. of shareholder (III)	paid up equity shares held (IV)	up equity shares held (V)	underlying Depository Receipts (VI)	shares held (VII = IV+V+VI)	as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Class X	ClassY	Total	Total as a % of Total Voting rights	convertible securities (including Warrants) (X)	securities ( as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)	shares held in dematerializ ed form (XIV)
-1	Indian		0	0		0		0		0								
	Individuals/Hindu	(	09((22	0		986623	4.72	v		0	4.73		4.72				0.00	09((22
(a)	undivided Family	0	986623	0		980023	4.73	986623		986623	4.73		4.73			0	0.00	986623
	SEEMA RAJENDRA CHORDIA	1	20000	0		20000	0.1	20000		20000	0.10		0.1			0	0.00	20000
	MAHENDRA MADANLAL CHORDIA HUF .	1	30000	0		30000	0.14	30000		30000	0.14		0.14			0	0.00	30000
	RAJENDRA MADANLAL CHORDIA	1	114003	0		114003	0.55	114003		114003	0.55		0.55			0	0.00	114003
	ASHA M CHORDIA	1	230700	0		230700	1.11	230700		230700	1.11		1.11			0	0.00	230700
	SUNNY MAHENDRA CHORDIA	1	237940	0		237940	1.14	237940		237940	1.14		1.14			0	0.00	237940
	MAHENDRA MADANLAL CHORDIA	1	353980	0		353980	1.7	353980		353980	1.70		1.7			0	0.00	353980
(b)	Central Government/State Government(s)		0	0		0		0		0								
	Financial Institutions/		0	0		0		0		0								
(d)	Banks Any Other (specify)	1	9357187	0		9357187	44.82	9357187		9357187	44.82		44.82			6263040	66.93	9357187
(u)	SWARNSARITA	1	9357187	0		9357187	44.82	9357187		9357187	44.82		44.82			6263040	66.93	9357187
Sub-Total	JEWELLERS PVT LTD	7	10343810	0		10343810	49.55	10343810		10343810	49.55		49.55			6263040	60.55	10343810
(A)(1) -2	Foreign		0	0		0		0		0								
	Individuals (NonResident		0	•		0		0		0								
	Individuals/ Foreign Individuals)		0	0		0		0		0								
	Government		0	0		0		0		0								
	Institutions		0	0		0		0		0								
(d) (e)	Foreign Portfolio Investor Any Other (specify)		0	0		0		0		0								
Sub-Total	, outer (opeens)		0	0		0		0		0								
(A)(2) Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A) (2)		7	10343810	0		10343810	49.55	10343810		10343810	49.55		49.55			6263040	60.55	10343810
	Details of Shares which rema Stock Exchange(s).(2) The te													are frozen et	c.Note:(1) PA	N would not h	e displayed o	n website of

					Nos. of		Shareho ding %		sect	ghts held in each o urities IX)	class of	No. of Shares	Shareholding , as a % assuming full	Number of in sha (XII	res	Number o pledged or encum (XI	otherwise bered	
			No. of fully paid	Partly paid-up	shares underlyi	Total nos.	calculate d as per	r No of Voting Rights			Underlying Outstanding	conversion of convertible					Number of equity shares	
	Category & Name of the Shareholders (I)	No. of shareholder (III)	up equity shares held (IV)	equity shares held (V)	ng Deposito ry Receipts (VI)	shares held (VII = IV+V+VI)	SCRR, 1957 As a % of (A+B+C 2) (VIII)	Class X	ClassY	Total	Total as a % of Total Voting rights	convertible securities (including Warrants) (X)	securities ( as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	No. (a)	As a % of total Shares held (b)	s (a)	As a % of total shares held (b)	held in dematerialized form (XIV)
	Institutions		0	0		0			)	0								
	Mutual Funds		0	0		0			)	0								<b></b>
	Venture Capital Funds		0	0		0			)	0					$ \rightarrow $			<b> </b>
	Alternate Investment Funds		0	0		0		-	)	0								·
	Foreign Venture Capital Investors Foreign Portfolio Investors		0	0		0			,	0			ł		+			
	Financial Institutions/ Banks		0	0		0			,	0			1					
	Insurance Companies		0	0		0		1	)	0			1		1			í
	Provident Funds/ Pension Funds		0	0		0	1			0	1	-	1					1
	Any Other (specify)		0	0		0			)	0								
Sub-Total (B)(1)			0	0		0			)	0								I
	Central Government/ State		0	0		0				0								1
	Government(s)/ President of India		-	0		0			,	0								I
Sub-Total (B)(2)			0	0		0			)	0								i
-3	Non-institutions		0	0		0		-	)	0								·
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	5814	2272816	0		2272816	10.89	227281	5	2272816	10.89		10.89					1921891
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	51	6206048	0		6206048	29.73	620604	3	6206048	29.73		29.73					6206048
	KANTADEVI MAHESHWARI	1	225000	0		225000	1.08	3 22500	)	225000	1.08		1.08					225000
	PIYUSH DILIP NABERA	1	370673	0		370673	1.78	37067.	3	370673	1.78		1.78					370673
	SHIVAJIRAO S JONDHALE	1	370855	0		370855	1.78			370855	1.78		1.78					370855
	LUXMI KANT GUPTA	1	381554	0		381554	1.83			381554	1.83		1.83					381554
	JAGDISHCHANDRA JAJOO	1	483700	0		483700	2.32			483700	2.32		2.32					483700
	SHEELA SUNIL KOTHARI	1	663271	0		663271	3.18	66327	1	663271	3.18		3.18					663271
	NBFCs registered with RBI		0	0		0			)	0								i
(d)	Employee Trusts Overseas Depositories (holding DRs)		0	0		0			, )	0								
	(balancing figure) Any Other (specify)	255	2054126	0		2054126	9.84	205412		2054126	9.84		9.84					2014626
	Any Other (specify) Foreign Nationals	455	2054126	0		2054126	9.84	2054120		2054126	9.84		9.84		+ +			2014626 200
	Clearing Members	10		0		5611	0.03			5611	0.03		0.03					5611
	Non-Resident Indian (NRI)	10		0		77140	0.3			77140	0.03		0.03					38240
	INVESTOR EDUCATION AND PROTECTION F	100	315518	0		315518	1.51			315518	1.51		1.51					315518
	IEPF	1	315518	0		315518	1.51	31551	1	315518	1.51		1.51					315518
	GRACEUNITED REAL ESTATE PRIVATE LIM	1	381930	0		381930	1.83			381930	1.83		1.83					381930
	Bodies Corporate	36	667314	0		667314	3.2	667314	l I	667314	3.2		3.2					667314
	HUF	107				988343	4.73			988343	4.73		4.73					987743
Sub-Total (B)(3)		6120				10532990	50.45			10532990	50.45		50.45					10142565
Total Public Shareholding (B)=(B)(1)+(B)(2)+( B)(3)		6120	10532990			10532990				10532990	50.45		50.45					10142565
	Details of the shareholders acting as pers																	
	suspense account, voting rights which are	e irozen etc.No	te:(1) PAN would	not be di	splayed on	website of Stock	Exchange	(s). (2) The abov	e formaf	needs to be disclo	sed along	with the name	of following per	sons: Instit	utions/Net	on Institutio	ns holding	more than 1%

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

						Shareh olding %	Number			each class	No. of Shares Underlyin	Shareholding , as a % assuming full conversion of	in sha	res	S ple otl	bhares dged or herwise	
Category & Name	N. C	No. of fully paid	Partly paid-up	Nos. of shares	Total nos.	ed as	N	o of Voti	ng Rights		g	convertible securities ( as					Number of equity shares
of the Shareholders (I)		up equity shares held (IV)	equity shares held (V)	underlying Depository Receipts (VI)	shares held (VII = IV+V+VI)	per SCRR, 1957 As a % of (A+B+ C2) (VIII)	Class X	ClassY	Total	Total as a % of Total Voting rights	ng convertibl e securities (including Warrants) (X)	share capital) (XI) =	No. (a)	As a % of total Shares held (b)	No. (a)	held in As a % dematerialized of total form shares (XIV) held (b)	
Custodian/DR Holder																	
Name of DR Holder (if available)																	
Sub-Total [C](1)	0	0	0	0	0	0	0		0	0		0	0	0	0	0	
Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																	
Name (abc Sub-Total [C](2)	0	0	0	0	0	0	0		0	0		0	0	0	0	0	(
Total Non- Promoter- Non Public Shareholding (C)=[C](1) +[C](2)	0	0	0	0	0	0	0		0	0		0	0	0	0	0	(
	of the Shareholders (I) Custodian/DR Holder Name of DR Holder (if available) Sub-Total [C](1) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) Name (abc Sub-Total [C](2) Total Non- Promoter- Non Public Shareholding	of the Shareholders (I)  No. 6I shareholder    Shareholders (I)  shareholder    (III)  (III)    Custodian/DR Holder  Name of DR Holder    Name of DR Holder (if available)	of the Shareholders (I)    INO. 01 shareholder (III)    up equity shares held (IV)      Custodian/DR Holder    (III)    (IV)      Name of DR Holder (if available)	Category & Name of the Shareholders (I)    No. of shareholder (III)    No. of up equity shares held (IV)    paid-up equity shares held (V)      Custodian/DR Holder	Category & Name of the Shareholders (I)No. of shareholder (II)No. of fully paid up equity shares held (IV)paid-up equity shares held (V)shares underlying Depository Receipts (VI)Custodian/DR Holder(IV)(IV)(IV)(IV)(IV)(IV)(IV)Custodian/DR Holder(IV)(IV)(III)(IV)(IV)(IV)(IV)Name of DR Holder(III)(IV)(IV)(IV)(IV)(IV)Sub-Total [C](1)00000Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)IIIIIIIIIIIIMame (abcIIIIIIIIIIIIIIIIIIIIIMame (abcIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIMame (abcIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII	Category & Name of the Shareholders (I)    No. of shareholder (III)    No. of fully paid up equity shares held (IV)    paid-up equity shares held    shares underlying (VI)    Total nos. shares held (VI)      Custodian/DR Holder    (III)    (IV)    (IV)    (VI)    Receipts (VI)    IV+V+VI)      Name of DR Holder (if available)	Category & Name of the Shareholders (I)No. of fully paid up equity shares held (IV)Partly paid-up equity shares held (V)Nos. of shares held (VI)Total nos. shares held (VII = IV+V+VI)olding % claubat ed as per SCRR, IJS7 As a % of (VIII)Custodian/DR Holder	Category & Name of the Shareholders (I)    No. of fully paid up equity shares held (II)    Partly up equity shares held (V)    Nos. of shares held (V)    Total nos. shares held (VI)    Shareholder shares held (VI)    No. of shares held (VI)    No. of sha	Category & Name of the ShareholdersNo. of thull public up equity shares held $(I)$ Party paid-up paid-up shares held $(V)$ Nos. of shares underlying shares held $(V)$ Nos. of shares held $(V)$ No. of fully paid edung underlying shares held $(V)$ Nos. of shares held $(V)$ Nos. of shares held $(V)$ No of Voti edung shares held $(V)$ Custodian/DR HolderName of DR HolderSub-Total [C](1)000000000Sub-Total [C](1)0000000000Sub-Total [C](2)000000000000Name (abc subsciences, 2014)	Category & Name of the Shareholders (I)  No. of full paid up equity shares held (II)  No. of full paid up equity shares held (IV)  Partly shares held (V)  Nos. of shares underlying bepository (VI)  Shares held shares underlying bepository (VI)  Shares held shares shares held (VII = V+V+VI)  Shares shares shares held (VII = V+V+VI)  Shares shares shares held (VII = V+V+VI)  Shares shares held (VII = V+V+VI)  Shares shares shares held (VII = V+V+VI)  Shares shares shares shares of (A+B+ Cass X  Class Y  Class Y  Total    Castodian/DR Holder Holder shares of DR Holder (II)  I	Category & Name of the Shareholder Shareholder (II)No. of fully paid up equity shares held (IV)Party paid-up shares held (V)Nos. of shares held (V)Party paid-up shares held (V)Nos. of shares held (V)Nos. of share (V)Nos. of share (V)Nos. of share (V)No. of contact held (V)No. of contact		Category & Name of the Shareholder (II)No. of shares bareholder (III)No. of paid-up paid-up shares held paid-up (IV)No. of shares underlying No. of fullNo. of shares inderlying Shares held paid-up (IV)No. of shares inderlying No. of fullNo. of shares shares held paid-up (IV)No. of shares inderlying No. of fullNo. of shares inderlying Shares held paid-up (IV)No. of shares inderlying No. of shares held paid-up (IV)No. of shares inderlying No. of shares held paid-up (IV)No. of shares inderlying No. of shares held paid-up (IV)No. of shares inderlying No. of shares to inderlying No. of shares held (IV)No. of shares inderlying No. of shares to inderlying No. of shares to inderlying No. of shares to inderlying No. of shares to inderlying No. of shares to inderlying to inderlying No. of shares to inderlying No. of shares to inderlying to inderlying <td>Category &amp; Name of the shareholderNo. of fully paid up equipy shares (II)Party paid-up had up equipy held (IV)No. of shares equipy held (V)No. of shares equipy had (V)No. of shares equipy (V)No. of shares (V)No. of (V)No. of shares (V)No. of (V)No. of (V)No. of (V)No. of (V)No. of (V)<td>And the contract of the contr</td><td>Category 8. No. of Shareholdy (1)      No. of Shale      No. of Shareholdy (1)</td><td>Late 1      No. of full year      Party of the p</td></br></td>	Category & Name of the 	And the contract of the contr	Category 8. No. of Shareholdy (1)      No. of Shale      No. of Shareholdy (1)	Late 1      No. of full year      Party of the p

Table V -	Statement showing of sig	nificant ben	eficial owners (SBOs)			
	Details of the significant	beneficial	Details of the registe	red owner	Details of holding/	
	owners (i)		(ii)		exercise of right of the SBO in the reporting company, whether direct or indirect :	Date of creation /
Sr. No.	Name	Nationality	Name	Nationality	(iii) Shareholding as a % of total no of shares ( calculated as per SCRR, 1957 As as % of (A+B+C2)	acquisition of significant beneficial interest (iv)