



CERTIFICATE



AN ISO 9001-2008 COMPANY

SWARNSARITA GEMS LTD

DETAILS OF INVESTOR'S REQUESTS / COMPLAINTS

REPORT FOR THE PERIOD : 01-10-2018 TO 31-12-2018

SRL.NO.	NATURE OF REQUESTS/COMPLAINTS	OPENING BALANCE	STOCK EXCHANGE	SEBI	OTHERS	TOTAL RECEIVED	REDRESSED	PENDING
1	DELAY IN TRANSFER OF SHARES.	-	-	-	-	-	-	-
2	NON-RECEIPT OF SHARES/ DIVIDENDS/ RIGHTS/ BONUS SHARES.				1	1	1	
3	DELAY/ NON-RECEIPTS IN ISSUE OF DUPLICATE SHARES.			2		2	2	
4	DELAY/ NON RECEIPT OF ANNUAL REPORTS.				2	2	2	
5	DELAY/ NON-RECEIPT OF REDEMPTION AMOUNT OF DEBENTURES.	-	-	-	-	-	-	-
6	DELAY/ NON-RECEIPT OF INTEREST ON DEBENTURES.	-	-	-	-	-	-	-
7	DELAY/ NON-CREDIT OF SHARES IN THE ACCOUNT BY THE BROKER.	-	-	-	-	-	-	-
8	DELAY/ NON-PAYMENT OF SALE PROCEEDS BY THE BROKER ETC.	-	-	-	-	-	-	-
9	MANIPULATION IN THE ACCOUTS STATEMENTS.	-	-	-	-	-	-	-
10	UNAUTHORIZED TRADES AND UNAUTHORIZED MOVEMENTS OF SHARES AND FUNDS FROM THE CLIENT' ACCOUNTS.	-	-	-	-	-	-	-
11	DABBA TRADING/ CHURNING ETC. IN CLIENTS' ACCOUNTS.	-	-	-	-	-	-	-
12	DELAY/ NON-UPDATING OF CLIENTS' INFORMATION IN RECORDS.			1		1	1	
	TOTAL	0	0	3	3	6	6	0

For SHAREX DYNAMIC (INDIA) PVT.LTD.

(AUTHORISED SIGNATORY)