



# CERTIFICATE

## SWARNSARITA GEMS LTD

DETAILS OF INVESTOR'S REQUESTS / COMPLAINTS

REPORT FOR THE PERIOD : 01-04-2019 TO 30-06-2019

| SRL.NO. | NATURE OF REQUESTS/COMPLAINTS   | OPENING BALANCE | STOCK EXCHANGE | SEBI     | OTHERS   | TOTAL RECEIVED | REDRESSED | PENDING  |
|---------|---|-----------------|----------------|----------|----------|----------------|-----------|----------|
| 1       | DELAY IN TRANSFER OF SHARES.  | -               | -              | -        | -        | -              | -         | -        |
| 2       | NON-RECEIPT OF SHARES/ DIVIDENDS/ RIGHTS/ BONUS SHARES.                                       | -               | -              | -        | -        | -              | -         | -        |
| 3       | DELAY/ NON-RECEIPTS IN ISSUE OF DUPLICATE SHARES.   | -               | -              | -        | -        | -              | -         | -        |
| 4       | DELAY/ NON RECEIPT OF ANNUAL REPORTS.   | -               | -              | -        | -        | -              | -         | -        |
| 5       | DELAY/ NON-RECEIPT OF REDEMPTION AMOUNT OF DEBENTURES.  | -               | -              | -        | -        | -              | -         | -        |
| 6       | DELAY/ NON-RECEIPT OF INTEREST ON DEBENTURES.   | -               | -              | -        | -        | -              | -         | -        |
| 7       | DELAY/ NON-CREDIT OF SHARES IN THE ACCOUNT BY THE BROKER.                                     | -               | -              | -        | -        | -              | -         | -        |
| 8       | DELAY/ NON-PAYMENT OF SALE PROCEEDS BY THE BROKER ETC.  | -               | -              | -        | -        | -              | -         | -        |
| 9       | MANIPULATION IN THE ACCOUTS STATEMENTS.   | -               | -              | -        | -        | -              | -         | -        |
| 10      | UNAUTHORIZED TRADES AND UNAUTHORIZED MOVEMENTS OF SHARES AND FUNDS FROM THE CLIENT' ACCOUNTS. | -               | -              | -        | -        | -              | -         | -        |
| 11      | DABBA TRADING/ CHURNING ETC. IN CLIENTS' ACCOUNTS.  | -               | -              | -        | -        | -              | -         | -        |
| 12      | DELAY/ NON-UPDATING OF CLIENTS' INFORMATION IN RECORDS.                                       | -               | -              | -        | -        | -              | -         | -        |
|         | <b>NIL COMPLAINT RECEIVED</b>   | <b>0</b>        | <b>0</b>       | <b>0</b> | <b>0</b> | <b>0</b>       | <b>0</b>  | <b>0</b> |

For SHAREX DYNAMIC (INDIA) PVT.LTD.

(AUTHORISED SIGNATORY)